

ORIGINAL ARTICLE

Automated extraction of information from free text of Spanish oncology pathology reports

Extracción automatizada de información en español de texto libre de informes de patología oncológica

Diana Marcela Mendoza-Urbano, ¹ Johan Felipe Garcia, ² Juan Sebastian Moreno, ²³ Juan Carlos Bravo-Ocaña, ⁴ Alvaro José Riascos, ^{23,5} Angela Zambrano Harvey, ⁶ Sergio I Prada ^{7,8} C

1 Universidad Nacional de Colombia, Facultad de Medicina, Departamento de Patología, Bogotá, Colombia, 2 Quantil SAS. Bogotá, Colombia, 3 Centro de Analítica para Políticas Públicas. Bogotá, Colombia, 4 Fundación Valle del Lili; Departamento de Patología, Cali, Colombia, 5 Universidad de los Andes, Facultad de Economía. Bogotá, Colombia, 6 Fundación Valle del Lili; Departamento de Hemato-Oncología, Cali, Colombia, 7 Fundación Valle del Lili, Centro de Investigaciones Clínicas, Cali, Colombia, 8 Universidad Icesi, Centro PROESA, Cali, Colombia.

Abstract

Background:

Pathology reports are stored as unstructured, ungrammatical, fragmented, and abbreviated free text with linguistic variability among pathologists. For this reason, tumor information extraction requires a significant human effort. Recording data in an efficient and high-quality format is essential in implementing and establishing a hospital-based-cancer registry

Objective:

This study aimed to describe implementing a natural language processing algorithm for oncology pathology reports.

Methods:

An algorithm was developed to process oncology pathology reports in Spanish to extract 20 medical descriptors. The approach is based on the successive coincidence of regular expressions.

Results:

The validation was performed with 140 pathological reports. The topography identification was performed manually by humans and the algorithm in all reports. The human identified morphology in 138 reports and by the algorithm in 137. The average fuzzy matching score was 68.3 for Topography and 89.5 for Morphology.

Conclusion:

A preliminary algorithm validation against human extraction was performed over a small set of reports with satisfactory results. This shows that a regular-expression approach can accurately and precisely extract multiple specimen attributes from free-text Spanish pathology reports. Additionally, we developed a website to facilitate collaborative validation at a larger scale which may be helpful for future research on the subject.

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Registro del program nacional de cancer, inteligência artificial, aprendizaje de ontologia, ciência de los datos, reportes em patologia del câncer, expresiones regulares, algoritmo

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Conflict of interest:

authors declare no conflict of interest

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Corresponding author:

Sergio I Prada, MPA, PhD. Chief Research and Innovation Officer. Fundación Valle del Lili. Centro de Investigaciones Clínicas. Cali, Colombia. Cra. 98 # 18-49, Cali, Colombia. (57) 602 3319090 ext 4022. E-mail: sergio.prada@fvl.org.co

Resumen

Introducción:

Los reportes de patología están almacenados como texto libre sin estructura, gramática, fragmentados o abreviados, con variabilidad lingüística entre patólogos. Por esta razón, la extracción de información de tumores requiere un esfuerzo humano significativo. Almacenar información en un formato eficiente y de alta calidad es esencial para implementar y establecer un registro hospitalario de cáncer.

Objetivo:

Este estudio busca describir la implementación de un algoritmo de Procesamiento de Lenguaje Natural para reportes de patología oncológica.

Métodos:

Desarrollamos un algoritmo para procesar reportes de patología oncológica en Español, con el objetivo de extraer 20 descriptores médicos. El abordaje se basa en la coincidencia sucesiva de expresiones regulares.

Resultados:

La validación se hizo con 140 reportes de patología. La identificación topográfica se realizó por humanos y por el algoritmo en todos los reportes. La morfología fue identificada por humanos en 138 reportes y por el algoritmo en 137. El valor de coincidencias parciales (fuzzy matches) promedio fue de 68.3 para Topografía y 89.5 para Morfología.

Conclusión:

Se hizo una validación preliminar del algoritmo contra extracción humana sobre un pequeño grupo de reportes, con resultados satisfactorios. Esto muestra que múltiples atributos del espécimen pueden ser extraídos de manera precisa de texto libre de reportes de patología en Español, usando un abordaje de expresiones regulares. Adicionalmente, desarrollamos una página web para facilitar la validación colaborativa a gran escala, lo que puede ser beneficioso para futuras investigaciones en el tema.

Remark

1) Why was this study conducted?

This study was conducted from the need for effective extraction and analysis of tumor characteristics from oncology reports recorded in the such registry.

2) What were the most relevant results of the study?

An algorithm using artificial intelligence to process natural language was developed. As a result, an adequate concordance with human evaluation about the most critical parameters in determining tumor frequencies, topography, and morphology was achieved.

3) What do these results contribute?

This study presents a tool for classifying oncological diseases and a notification system that facilitates the implementation of a cancer registry.



Introduction

Cancer registries collect, store, analyze, and access cancer data of a given population ¹. They record patient demographics, cancer characteristics, treatment information, and patient outcomes to monitor and identify cancer prevention and control methods. Information comes from healthcare databases, including electronic health records, diagnostics imaging, laboratory tests, and pathology reports which result in structured variables and unstructured data ². Usually, the most relevant information for cancer cases is included in the pathology report. Those reports follow a pre-established format in an unstructured text that is ungrammatical, fragmented, and abbreviated, with linguistic variability amongst pathologists ³. In this scenario, the extraction task requires a time-consuming and laborious effort that humans manually perform.

Natural Language Processing is a subfield of artificial intelligence that combines linguistic, statistical, and computational techniques to analyze and represent human language in a machine-readable format ⁴. Natural Language Processing has demonstrated the potential to automatize healthcare information extraction processes ^{5,6}.

Studies using Natural Language Processing applications to extract information from cancer pathology reports have been published in English, Dutch, French, German ⁷, and Italian ⁸ and are mainly focused on extracting a single characteristic ⁸ or a few of them ⁹. A similar effort has been performed regarding data extractions from radiological ¹⁰ and public health reports in Spanish ¹¹. Using additional techniques like deep learning, another artificial intelligence subfield, researchers have extracted features from lung cancer-free text in clinical records in Spanish ¹². To do this, they follow a three-step process that includes using Natural Language Processing for name entity recognition. However, their model uses supervised learning techniques (i.e., deep learning), requiring the manual annotation of seven features (cancer entity, stage, dates, events, family members, treatment and drug) in 14,759 sentences. Further refinements ¹³, using deep learning techniques and annotated texts, are pursued by the authors to extract eleven similar features.

In this project, we aimed to implement an algorithm to automatically extract 20 key cancer characteristics in oncology pathology reports written in Spanish from a hospital-based cancer registry.

Table 1. Spanish Pathology report examples in free text.

Macroscopic description	Microscopic description	Diagnosis
Tres fragmentos de mucosa gástrica. Se procesa todo en 1 canastilla	Mucosa gástrica antral infiltrada por glándulas malignas	Mucosa gástrica antral. Biopsia Adenocarcinoma bien diferen- ciado
Se recibe en un tubo con EDTA, aproximadamente 4 ml de mé- dula ósea	Población patológica: 48% de blastos mieloides CD34+, CD117+, CD33+, CD13+, cMPO- dim, CD56 parcial, HLA-DR+.	Proliferación de blastos mieloides del 48% compatibles con leuce- mia mieloide aguda con cambios relacionados a mielodisplasia
	Melanoma nodular fase de creci- miento vertical Nivel de Clark IV Espesor de Breslow 1.5 cm	Dorso lumbar izquierdo. Lesión. Biopsia: Los hallazgos histo- lógicos observados muestran melanoma nodular
"mama derecha" se reciben 11 fragmentos de tejido, el mayor de 1.6x0.2cm. Se procesa todo en 3 canastillas.	5. Patrón morfológico y tipo histológico: Carcinoma invasivo, tipo indeterminado	Mama derecha. Biopsia Trucut: Carcinoma invasivo, tipo inde- terminado score de Nottingham 3 (9/9)
"Tumor colon derecho": siete fragmentos de tejido blanquecino y blando, el mayor de 0.2x0.2 cm. Se procesa todo en una canastilla.	rresponde a una lesion neoplasi-	Mucosa de colon. Colonoscopia. Lesión. Biopsia: Adenocarcinoma



Material and Methods

Dataset

Fundación Valle del Lili is a non-profit, highly complex University Hospital in Cali, Colombia; its hospital-based cancer registry includes patients diagnosed with cancer from January 1 2014 to November 13 2019 (,14). Data are stored in a computer platform owned by the institution, which meets the 2016 Facility Oncology Registry Data Standards (FORDS) recommendations 15.

We obtained a text corpus of cancer pathology reports from the hospital-based cancer registry. The corpus consisted of unstructured text from 22322 anonymized pathology reports of cancer cases diagnosed from January 1, 2014, to November 13, 2019. Each report included three sections as free-text: pathology diagnosis, macroscopic and microscopic description (Table 1).

Descriptors to extract from pathology reports

Twenty cancer essential characteristics were extracted from oncology pathology reports embedded in the hospital-based cancer registry. These descriptors of interest were included in the "Cancer identification" module. In addition, the recommendations of the 2016 Facility Oncology Registry Data Standards¹⁵ were adapted to the Mandatory Notification Record established by the Instituto Nacional de Salud of Colombia ¹⁶ in the 247 Resolution of 2014.

We divide each extracted descriptor into four groups according to its clinical relevance and the kind of values they could take.

Primary descriptors. Topographic (which identifies the anatomical site where the malignancy was found), and morphologic (which determines the microscopic type of the tumor cells) variables contain the most relevant information in the pathology report as they constitute the base of case classification. Both descriptors take values in the form of free text.

Complementary descriptors. These descriptors contain valuable information concerning the primary tumor identified with the main descriptors. They can be classified into different categories, as shown in Table 2.

Metastasis-related descriptors. These descriptors identify if the mentioned organ is a metastatic site and evaluate the pulmonary, bone, liver, brain, and distant lymph nodes compromise, as well as other metastasis. Descriptors scoring was: 0: NOT a metastatic site, 1: it is a metastatic site, 8: nonapplicable, 9: unknown.

Special descriptors. The descriptors in this group have different possible values and provide complementary information that might not be present or even applicable in many pathology reports. These descriptors are: number of lymphatic nodes examined, number of positive for malignancy lymphatic nodes sectioned near the tumor, the tumor size and the tumor, lymphatic nodes, and metastasis (TNM)-based staging.

Each descriptor could take up to two values: nonapplicable (NA) and unknown or unreported (NR). Nonapplicable was used when the descriptor did not apply to the procedure or cancer type reported; for example, it does not make sense to assess the residual tumor and surgical margins in the case of a biopsy. Unreported was used when the descriptor applied to the case but was not mentioned in the report.

Construction of the algorithm

Descriptors from the pathology report text were extracted using Natural Language Processing techniques, particularly the matching of regular expressions and the fuzzy matching of strings.



Table 2. Descriptors extracted from each oncology pathology report. The first column shows the descriptor name and defintion, the second the type of values it can take, and the third a description of these values.

Main descriptors Topography: Identifies the anatomical site where malignancy was found Morphology: Identifies the microscopic type of tumor civiles Industry identifies the side of a paired organ or the body side on which the tumor original to Morphology: Identifies the microscopic type of tumor or the body side on which the tumor original content or the primary side of the primary si		Descriptor name and definition	Value	Meaning
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Descriptor name and definition	Value	Meaning
Special Descriptors TNM: Records the TNM stratification registered by the Pathologist	Free text	As Pathologist wrote
Tumor size: Records the most accurate measurement of a solid primary tumor	Numeric	Two or three dimensions
Examined lymphatic nodes: Records the exact number of regional lymph nodes examined by the Pathologist		Numeric
Positive lymphatic nodes: Records the exact number of regional lymph nodes examined by the Pathologist and found to contain cancer	Numeric	Numeric

This project was developed in Python, and a module containing an algorithm for extracting each descriptor was implemented. Each algorithm loosely obeyed the following steps (Figure 1):

- 1. Choice of pathology sections and their order for description search.
- Identify the marker that introduced the value of the descriptor (in case it was explicitly stated). For instance, the tumor size was usually preceded by the phrase "Tamaño del tumor".
- Identifying keywords directly related to the descriptor in case the value was tacitly mentioned in the text.
- 4. Extraction of relevant text.
- 5. Analyzation of the value of the such text.

The following paragraphs describe the algorithms for each kind of descriptor in more detail.

Primary descriptors: Topography and morphology. For each variable, a thesaurus was built based on the corresponding section of the International Classification of Diseases for Oncology (ICD-O) Spanish translation ¹⁷. This thesaurus identified the main keywords in every topography and morphology category. Those keywords (e.g., "carcinoma") were searched in the diagnosis section of the pathology report text first, followed by other sections. Once a match was found, a secondary search for relevant modifiers for the keyword (e.g. "ductal", "papillary" etc.) was performed in nearby words.

Complementary descriptors. This group of descriptors offered complementary information on the performed examination and the results found. All were calculated after topography and morphology were determined. Each descriptor had a few possible values, depending on whether the cancer was established as a solid tumor or a hematologic malignancy (such distinction can be made based on topography or morphology).

Laterality was implemented as a lateral topography computation, first by verifying if the organ was paired and then its side among the modifiers found. Behavior was found in the diagnosis section, usually close to the morphology and in some cases, implied by it. Due to the data nature, the predetermined value was malignancy when was not explicitly stated.

The Grade was determined from three possible sources: 1. A keyword for differentiation explicitly stated or near the morphology declaration, for instance: well-differentiated (i.e., "bien differenciado"). 2. A global grade number or a numerical score for a specified set of topographies. For instance, Nottingham scored in breast cancer. 3. For hematological malignancies, the kind of lymphocyte involved was either explicitly stated or derived from a biological marker.

The assessment method and the Diagnostical procedure substantially depended on the distinction between solid and hematologic. The examination type complements this information, and the keywords search among microscopic or macroscopic descriptions.

The examination of residual tumor and surgical margins only proceeded when a surgical procedure was performed and was specified as micro or macro depending on the residual tumor size. When evaluated, the presence or absence of lymphovascular invasion was usually explicitly stated in the microscopic description.



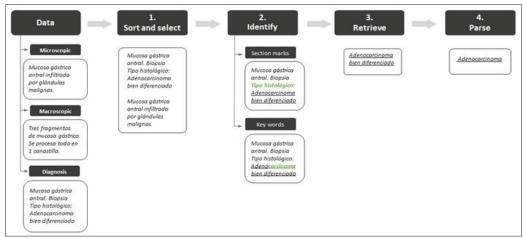


Figure 1. Algorithm: the figure shows the process followed to identify and retrieve the relevant characteristics of the oncology pathology report. The algorithm is feed with three types of data: microscopic, macroscopic and diagnosis data. It then follows a four step process in which the data is sorted (step 1), characteristics are identified inside the text (step 2) and finally, they are retrieved (step 3) and parsed or tokenized into grammatical parts (step 4).

Metastases-related descriptors. Six descriptors study the spread of cancer according to compromised organs. These were calculated simultaneously following a two-step procedure: first, identification of each metastasis mentioned in the report and extraction from the surrounding texts. Then, a mention for each specified organ was searched in the texts; if no organ was found but metastases were mentioned in a non-negative manner, these were classified as "other Metastases".

Two special conditions were taken into account in this algorithm: first, exclusion of cancer in the primary organ as a possible metastatic site, and second, differentiation between regional and distant lymphatic nodes.

Especial descriptors. These were determined based on the applicability rules of the descriptor and some manipulation of numbers reported. Finally, the TNM staging was extracted by a global search based on regular expressions, considering repetition and scares code statements.

For instance, the TNM code could be distributed in a paragraph first indicating the T value and a couple of sentences after stating the N and M values.

The tumor size was searched solely when the resection was performed. For extraction, the context of every number that resembled a measure (e.g. "1.2 cm") was inspected to establish if the tumor was mentioned. The number of lymphatic nodes examined and positive nodes were calculated from a context inspection of the numbers present in the diagnosis or in the microscopic description of the pathology.

Algorithm evaluation

During the algorithm's development, a team of experts in our institution selected a subset of pathology reports and executed a manual extraction of descriptors for such reports. This human team included a general physician, a pathologist, and an hemato-oncologist. Reports for manual extraction were carefully chosen to ensure the inclusion of a wide range of pathology reports. Special attention was given to including representatives of every database, most of the common cancer types and stages, and every kind of procedure.

In order to assess and improve the algorithm's performance, the manual and algorithmic information extraction was compared in three incremental cycles (first 20 reports, then 42, and finally 140). After each evaluation cycle, possible algorithm error sources were identified, and many suggestions for improvement were made and implemented.



The metrics used for measuring the algorithm's performance depended on the kind of values that each descriptor could take:

The values were considered free text for the main primary descriptors, and a fuzzy matching score was calculated. This score is based on the Levenshtein distance between the text extracted by the algorithm and the human team; this distance measures the number of edits (adding, erasing, or replacing a character) needed to transform a word into another. The distance is scaled to obtain a score that ranges from 0 to 100. Therefore a score of 100 means that the words in both texts are identical, and a score of 0 means that both texts have no characters in common.

The values were split into a small number of classes for the other descriptors. Hence we used four common metrics for a multiclass classification problem: the overall accuracy and the macro averaged precision, recall, and f-score.

The overall accuracy measures the fraction of reports correctly classified among all reports, where correctly means that human and algorithmic extraction coincide.

For each possible value of the descriptor, we compute the precision, recall and f-score in a one versus the rest strategy according to the next formulae:

$$Precision = \frac{Number\ of\ reports\ correctly\ assigned\ to\ the\ class}{Number\ of\ reports\ assigned\ to\ the\ class\ by\ the\ algorithm}$$

$$Recall = \frac{Number\ of\ reports\ correctly\ assigned\ to\ the\ class}{Number\ of\ reports\ assigned\ to\ the\ class\ by\ the\ human\ team}$$

$$F-Score = 2* \frac{Precision*Recall}{Precision+Recall}$$

The precision measures how good the algorithm is at differentiating this class from the others, and the recall measures how good the algorithm is at capturing all the instances of the same class. Since those two objectives are complementary, the f-score is a compromise between both.

Finally, the arithmetic mean of each metric is taken over all the possible values of a descriptor. This is known as the macro average.

In addition, for the special descriptors, where the nonapplicable or non-reported values represent a significant proportion, a categorical analysis was performed between reported, non-reported, and nonapplicable classes before proceeding to the analysis of the reported values.

In order to perform a larger-scale validation of the algorithm, a website (available at one of our institutional computer platforms) for the algorithm was developed, with open access to all interested external users who may voluntarily participate in its evaluation and improvement (https://centrodeinvestigacionesclinicas.fvl.org.co:8001/polls/ERP2014%2033.0/).

Results

This section summarises the comparison between the human and algorithmic descriptors extraction for the pathology reports chosen for validation. The evaluation was performed as described in the previous section.



Table 3. Summary statistics for the fuzzy matching score between human and algorithmic extraction of free text descriptors. The table displays the number of reports validated and the mean, standard deviation and quartiles of the score.

Descriptor	Count	Mean	std	Min	25%	50%	75%	Max
Topography	140	68.27	25.22	0.0	45.0	77.0	90.0	100.0
Morphology	137	89.45	10.64	31.0	90.0	90.0	95.0	100.0

Primary descriptors

The validation was performed in 140 pathological reports. Topography was identified by both the human and the algorithm in all reports. The human identified morphology in 138 reports and by the algorithm in 137.

A fuzzy matching score was calculated between the values on the reports where both the human and algorithm extracted the descriptor. Table 3 summarizes the distribution of that score calculated for each descriptor. Notice that the matching score is above 90.0 for three-quarters of cases in the Morphology text.

Complementary descriptors

Precision, recall, and f-score were calculated for each possible value of the descriptor and then averaged. The overall precision corresponds to the fraction of reports where the manual and algorithmic extraction for the descriptor match. Table 4 summarises the algorithm's precision, recall, and accuracy for each categorical descriptor in the validation subset of 42 reports.

Special descriptors

The analysis is executed in two steps for these descriptors. First, we measured the algorithm performance in differentiating reported values from unreported and nonapplicable. Afterward, we measured the precision of the reported values. Figure 2 shows confusion matrices colored by f-score for each descriptor.

Discussion

Here we present an effort to combine Natural Language Processing developments with human effort to optimize the information extraction results for the tumor module of our hospital-based cancer registry.

Table 4. Performance measures of the extraction algorithm when applied to categorical characteristics. Precision measures the number of correctly classified reports among the total number of reports assigned to the class by the algorithm. Recall, measures the number of reports correctly classified among the number of true (i.e., human classified) reports in that class. The f-score is the harmonic mean of precision and recall. For multiclass characteristics precision, recall and f-score are averaged over classes (macro average). Overall accuracy is the number of reports correctly classified among the total number of reports evaluated.

	Descriptor	Macro Precision (%)	Macro Recall (%)	Macro f-score (%)	Overall Accuracy % (n/N)
	Laterality	66.2	50.0	52.9	64.3 (27/42)
	Behavior	57.1	92.7	58.6	85.7 (36/42)
	Grade	70.3	64.8	79.6	76.2 (32/42)
	Method of Assessment for Solid Tumors	78.6	94.8	78.4	85.7 (36/42)
Complementary descriptors	Method of Assessment for Hematological Tumors	100	100	100	100 (42/42)
	Diagnostic Procedure	95.0	83.7	87.2	90.5 (38/42)
	Lymphovascular Invasion	82.5	91.2	83.9	85.7 (36/42)
	Surgical Margins	94.4	77.2	82.8	90.5 (38/42)
	Pulmonary Metastasis	100	100	100	100 (42/42)
	Osseous Metastasis	92.8	50.0	96.3	92.9 (39/42)
	Hepatic Metastasis	75.0	66.7	83.3	97.6 (41/42)
	Brain Metastasis	50.0	50.0	100	97.6 (41/42)
	Distant Lymph Nodes Metastasis	50.0	97.6	98.8	97.6 (41/42)
	Other Metastasis	98.8	75.0	82.7	97.6 (41/42
Special descriptors	Examined Regional Nodes	92.3	100	96.0	41.7 (5/12)
	Positive Regional Nodes	92.3	100	96.0	58.3 (7/12)
	Tumor Size	85.7	75.0	80.0	50.0 (6/12)
	TNM-based Staging	100	75.0	85.7	100 (3/3)



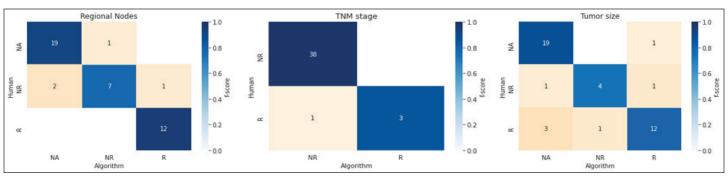


Figura 2. Confusion matrices between human and algorithmic extraction for the nonapplicable (NA), non-reported (NR) and reported (R) values in the special descriptors. The fill colour indicates the contribution of each entry to the f-score.

Data extraction using a regular-expressions approach can extract multiple specimen attributes from free-text pathology reports in Spanish with acceptable accuracy and precision. In cases selected for validation, the average fuzzy matching score was 68.3 for topography and 89.5 for morphology. Complementary descriptors presented precision and recall between 50% and 100% and F-score between 52.9% and 100%. Among the reported cases, precision ranged from 92.3% to 100%, recall from 75% to 100%, and F-score between 80% and 96%.

These developments could assist in accurately extracting information from hospital cancer registries that face the challenge of handling enormous volumes of information. Based on the algorithmic extraction of descriptors, statistical analyses of these pathology reports are now feasible.

Although the precision of the model is high, other metrics, such as recall, show there's room for improvement. The recall shows that the rules created via regular expressions were not enough to capture a significant number of characteristics of the tumors. This may be caused by underlying language patterns that doctors are unaware of because (1) they are infrequent or (2) they may be too complex to identify. These two obstacles seem insuperable using regular expressions and fuzzy matching of strings since all the potential cases would have to be included, many of which are unknown by pathologists. This is the most critical limitation of regular expressions.

Nevertheless, other methodologies in Natural Language Processing could prove to be more accurate in these cases; this tool is an approach that could significantly increase the recall of this application via machine learning models. Machine learning models in text data can identify underlying patterns that humans cannot, overcoming the limitations of prior knowledge constraints. Deep learning methodologies, such as recurrent neural networks, word2vec, and transformers, can capture the meaning of words/terms from their context, understanding context as the language around them. With enough data, these models could leverage information in the text, such as longevity, location within the text, and order of occurrence, to deduce complex correlations and extract the characteristics more accurately. Further research would go in this direction, where learning models are trained to overcome the limitations caused by complexity or infrequent linguistic patterns.

Applying the algorithm in pathology reports with unstructured or structured texts may aid institutions in hospital cancer registry implementation. The extracted data will allow a tumor (ICD-O-M) classification according to location, size, lymphovascular involvement, lymph node compromise, metastasis, and determining staging with TNM.

Limitations to the algorithm include the human supervision required for data extraction. The algorithm improves when essential malignancy data is recorded in pathology reports with cancer protocol templates. Further studies are needed to demonstrate the algorithm reach in a larger corpus of information.



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